



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/770,937	01/25/2001	Onkar S. Sangha	M-9564 US

CONFIRMATION NO. 8216

FORMALITIES LETTER



OC00000006252118

SKJERVEN, MORRILL, MACPHERSON LLP
25 Metro Drive, Suite 700
San Jose, CA 95110

Date Mailed: 07/02/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 05/14/2001 to the Notice to File Missing Parts (Notice) mailed 03/27/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Onkar S. Sangha; Ed Kwan, Vijay Maheshwari; Akihiro Kiuchi
Assignee: Ishoni Networks, Inc.
Title: BUFFER MANAGEMENT FOR COMMUNICATION SYSTEMS
Serial No.: 09/770,937 Filed: January 25, 2001
Examiner: Unknown Group Art Unit: 1302
Docket No.: M-9564 US

Newport Beach, California
June 7, 2001

COMMISSIONER FOR PATENTS
Attn.: Office of Initial Patent Examination's
Customer Service Center
Washington, D. C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Applicant hereby requests the Commissioner For Patents to correct the Official Filing Receipt for the above-identified patent application as follows:

1. Correct the spelling of the fourth-named inventor's last name from --Kiucki-- to --Kiuchi--.

This information is supported by the Declaration for Patent Application as originally filed (copy enclosed). Because this was not an error on the part of Applicant, Applicant believes no fee is required.

Attached is a copy of the Filing Receipt having the above error and the change noted thereon. Therefore, it is respectfully requested that a corrected Filing Receipt be issued.

If this action does not lead to issuance of a corrected Official Filing Receipt as

requested, please contact the undersigned at (949) 718-5200.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, Washington, D.C. 20231, on June 7, 2001.



Attorney for Applicant(s)

June 7, 2001

Date of Signature

Respectfully submitted,



F. Jason Farhadian
Attorney for Applicant
Reg. No. 42,523

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/770,937	01/25/2001	Onkar S. Sangha	M-9561 US

CONFIRMATION NO. 8216
FORMALITIES LETTER

SKJERVEN, MORRILL, MACPHERSON LLP
25 Metro Drive, Suite 700
San Jose, CA 95110



OC000000005905398

Date Mailed: 03/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

JW
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/770,937	01/25/2001	2661	1302	M-9564 US	14	44	5

CONFIRMATION NO. 8216

FILING RECEIPT



OC000000005905397

SKJERVEN, MORRILL, MACPHERSON LLP
 25 Metro Drive, Suite 700
 San Jose, CA 95110

Date Mailed: 03/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

RECEIVED

SEP 14 2001

Technology Center 2600

Applicant(s)

Onkar S. Sangha, Residence Not Provided;
 Ed Kwon, Residence Not Provided;
 Vijay Maheshwari, Residence Not Provided;
 Akihiro Kiuchi, Residence Not Provided;

KIUCHI

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/12/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Buffer management for communication systems

Preliminary Class



370

data entry by : LUONG, CHI-KIEN

Team : OIPE

Date: 03/27/2001

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LICENSE FOR FOREIGN FILING UNDER
 Title 35, United States Code, Section 184
 Title 37, Code of Federal Regulations, 5.11 & 5.15

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GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- o The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- o The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- o The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- o The docket number allows a maximum of 25 characters.
- o If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- o The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:



Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

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